

Annexure - I

Annexure - I

Holding of specified securities (Statement Showing Shareholding Pattern)

1. Name of Listed Entity : EMPEE DISTILLERIES LIMITED
2. Scrip Code / Name of Scrip / Class of Security 532920 / EDL / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 31-Dec-2016
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

| Particulars | Yes* | No* |
|--|------|-----|
| 1 Whether the Listed Entity has issued any partly paid up shares? | | NO |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 Whether the Listed Entity has any shares against which depository receipts are issued? | | NO |
| 4 Whether the Listed Entity has any shares in locked-in? | | NO |
| 5 Whether any shares held by promoters are pledge or otherwise encumbered? | YES | |

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

EMPEE DISTILLERIES LIMITED

Table I - Summary Statement holding of specified securities

| Category (I) | Category of Shareholder (II) | Nos. of Shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|---|---|---|-------------|----------|-------------------------|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No of Voting Rights | | | Total as a % of (A+B+C) | | | No.(a) | As a % of total Shares held (b) | No.(a) | As a % of total Shares held (b) | |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | |
| A. | PROMOTER & PROMOTER GROUP | 8 | 11672684 | 0 | 0 | 11672684 | 61.41 | 11672684 | 0 | 11672684 | 61.41 | 0 | 0 | 0 | 0 | 11662674 | 99.91 | 11672684 |
| B. | PUBLIC | 28260 | 7336209 | 0 | 0 | 7336209 | 38.59 | 7336209 | 0 | 7336209 | 38.59 | 0 | 0 | 0 | 0 | 0 | 0 | 7094889 |
| C. | NON PROMOTER-NON PUBLIC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| C1. | SHARES UNDERLYING DRS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| C2. | SHARES HELD BY EMPLOYEE TRUSTS | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 28268 | 19008893 | 0 | 0 | 19008893 | 100 | 19008893 | 0 | 19008893 | 100 | 0 | 0 | 0 | 0 | 11662674 | 61.35 | 18767573 |

Table II

EMPEE DISTILLERIES LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2 | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--|---|------------|---------------------------|--|---------------------------------------|---|---|---|---|---------|----------|--|--|-------------------------------------|--------|---|--------|---|---------------------------------|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting rights | No.(a) | As a % of total Shares held (b) | No.(a) | | As a % of total Shares held (b) |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| 1. INDIAN | | | | | | | | | | | | | | | | | | | |
| | Individuals/Hindu undivided | | | | | | | | | | | | | | | | | | |
| | a. Family | | 1 | 2094514 | 0 | 0 | 2094514 | 11.02 | 2094514 | 0 | 2094514 | 11.02 | 0 | 0 | 0 | 2094514 | 17.94 | 2094514 | |
| | Names : | | | | | | | | | | | | | | | | | | |
| | PURUSHOTHAMAN M P | AGMPP4159R | | 2094514 | | | 2094514 | 11.02 | 2094514 | 0 | 2094514 | 11.02 | 0 | 0 | 0 | 2094514 | 17.94 | 2094514 | |
| | Central Government/ State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | d. ANY OTHER | | | | | | | | | | | | | | | | | | |
| | Bodies Corporate | | 1 | 4875237 | 0 | 0 | 4875237 | 25.65 | 4875237 | 0 | 4875237 | 25.65 | 0 | 0 | 0 | 4875237 | 41.77 | 4875237 | |
| | Names : | | | | | | | | | | | | | | | | | | |
| | EMPEE HOLDINGS LTD | AABCE5490N | | 4875237 | | | 4875237 | 25.65 | 4875237 | 0 | 4875237 | 25.65 | 0 | 0 | 0 | 4875237 | 41.77 | 4875237 | |
| | Directors and their Relatives | | 6 | 4702933 | 0 | 0 | 4702933 | 24.74 | 4702933 | 0 | 4702933 | 24.74 | 0 | 0 | 0 | 4692923 | 40.2 | 4702933 | |
| | Names : | | | | | | | | | | | | | | | | | | |
| | ARAYAKKANDI KANDOTH ARUNA | AFIPA2733F | | 1800000 | | | 1800000 | 9.47 | 1800000 | 0 | 1800000 | 9.47 | 0 | 0 | 0 | 1800000 | 15.42 | 1800000 | |
| | NISHA P | AABPN2112R | | 1002000 | | | 1002000 | 5.27 | 1002000 | 0 | 1002000 | 5.27 | 0 | 0 | 0 | 1002000 | 8.58 | 1002000 | |
| | SHEEJU P | AAIPS8325G | | 1002000 | | | 1002000 | 5.27 | 1002000 | 0 | 1002000 | 5.27 | 0 | 0 | 0 | 1002000 | 8.58 | 1002000 | |
| | SHEENA P | AAIPS8326F | | 888923 | | | 888923 | 4.68 | 888923 | 0 | 888923 | 4.68 | 0 | 0 | 0 | 888923 | 7.62 | 888923 | |
| | A K SAJEEVAN | AUKPS9547C | | 9400 | | | 9400 | 0.05 | 9400 | 0 | 9400 | 0.05 | 0 | 0 | 0 | 0 | 0 | 9400 | |
| | SHAJI PURUSHOTHAMAN | AAIPS8327E | | 610 | | | 610 | 0 | 610 | 0 | 610 | 0 | 0 | 0 | 0 | 0 | 0 | 610 | |
| | Sub-Total (A)(1) | | 8 | 11672684 | 0 | 0 | 11672684 | 61.41 | 11672684 | 0 | 11672684 | 61.41 | 0 | 0 | 0 | 11662674 | 99.91 | 11672684 | |
| 2. FOREIGN | | | | | | | | | | | | | | | | | | | |
| | Individuals (Non- Resident Individuals/ Foreign Individuals) | | | | | | | | | | | | | | | | | | |
| | a. Government | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | b. Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | c. Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | f. ANY OTHER | | | | | | | | | | | | | | | | | | |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 8 | 11672684 | 0 | 0 | 11672684 | 61.41 | 11672684 | 0 | 11672684 | 61.41 | 0 | 0 | 0 | 11662674 | 61.35 | 11672684 | |
| Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | | | | | | | | | | | | | | | | | | | |

Table III

EMPEE DISTILLERIES LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--|---|------------|---------------------------|--|---------------------------------------|---|--|---|--|---------|-------------------------------------|--|---|----------------------------------|---------------------------------|---|--|---|---------|
| | | | | | | | | | No of Voting Rights | | Total as a % of Total Voting rights | | | No.(a) | As a % of total Shares held (b) | No.(a) (Not applicable) | As a % of total Shares held (Not applicable) (b) | | |
| | | | | | | | | | Class X | Class Y | | | | | | | | | Total |
| 1. | INSTITUTIONS | | | | | | | | | | | | | | | | | | |
| a. | Mutual Funds/ | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| b. | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| c. | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| d. | Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| e. | Financial Institutions/ Banks | | 3 | 37827 | 0 | 0 | 37827 | 0.2 | 37827 | 0 | 37827 | 0.2 | 0 | 0 | 0 | 0 | NA | | 37827 |
| g. | Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| h. | Provident Funds/ Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| i. | ANY OTHER | | | | | | | | | | | | | | | | | | |
| i. | Market Maker | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| | Sub-Total (B)(1) | | 3 | 37827 | 0 | 0 | 37827 | 0.2 | 37827 | 0 | 37827 | 0.2 | 0 | 0 | 0 | 0 | | | 37827 |
| 2. | Central Government/ State Government(s)/ President of India | | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | 0 |
| 3. | NON-INSTITUTIONS | | | | | | | | | | | | | | | | | | |
| a. | INDIVIDUALS - | | | | | | | | | | | | | | | | | | |
| | I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | | 25969 | 4644003 | 0 | 0 | 4644003 | 24.43 | 4644003 | 0 | 4644003 | 24.43 | 0 | 0 | 0 | 0 | NA | | 4643084 |
| | II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | 22 | 1071595 | 0 | 0 | 1071595 | 5.64 | 1071595 | 0 | 1071595 | 5.64 | 0 | 0 | 0 | 0 | NA | | 831595 |
| | Names : | | | | | | | | | | | | | | | | | | |
| | SUDHISH CHUGH | AAFFC2309H | | 253890 | | | 253890 | 1.34 | 253890 | 0 | 253890 | 1.34 | 0 | 0 | 0 | 0 | | | 253890 |
| | DINESH KUMAR SINGHI | AEFFS2551F | | 192818 | | | 192818 | 1.01 | 192818 | 0 | 192818 | 1.01 | 0 | 0 | 0 | 0 | | | 192818 |
| b. | NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| c. | Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| d. | Overseas Depositories (holding DRs) (balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | | 0 |
| e. | ANY OTHER | | | | | | | | | | | | | | | | | | |
| | BODIES CORPORATE | | 366 | 1013620 | 0 | 0 | 1013620 | 5.33 | 1013620 | 0 | 1013620 | 5.33 | 0 | 0 | 0 | 0 | NA | | 1013220 |
| | Clearing Members | | 71 | 41963 | 0 | 0 | 41963 | 0.22 | 41963 | 0 | 41963 | 0.22 | 0 | 0 | 0 | 0 | NA | | 41963 |
| | HINDU UNDIVIDED FAMILIES | | 1584 | 344993 | 0 | 0 | 344993 | 1.81 | 344993 | 0 | 344993 | 1.81 | 0 | 0 | 0 | 0 | NA | | 344992 |
| | NRI – Non- Repat | | 84 | 38623 | 0 | 0 | 38623 | 0.2 | 38623 | 0 | 38623 | 0.2 | 0 | 0 | 0 | 0 | NA | | 38623 |
| | NRI – Repat | | 157 | 142848 | 0 | 0 | 142848 | 0.75 | 142848 | 0 | 142848 | 0.75 | 0 | 0 | 0 | 0 | NA | | 142848 |
| | TRUSTS | | 4 | 737 | 0 | 0 | 737 | 0 | 737 | 0 | 737 | 0 | 0 | 0 | 0 | 0 | NA | | 737 |
| | Sub-Total (B)(3) | | 28257 | 7298382 | 0 | 0 | 7298382 | 38.39 | 7298382 | 0 | 7298382 | 38.39 | 0 | 0 | 0 | 0 | | | 7057062 |
| | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | | 28260 | 7336209 | 0 | 0 | 7336209 | 38.59 | 7336209 | 0 | 7336209 | 38.59 | 0 | 0 | 0 | 0 | | | 7094889 |
| Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): | | | | | | | | | | | | | | | | | | | |
| Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. | | | | | | | | | | | | | | | | | | | |

Table IV

EMPEE DISTILLERIES LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholder (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII = IV + V + VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) (Not applicable) | |
|----|---|----------|---------------------------|--|---------------------------------------|---|--|---|---|-----------------------|-------|--|---|-------------------------------------|--------|---|-------------------------|--|--|
| | | | | | | | | | No of Class X | Voting Rights Class Y | Total | | | Total as a % of Total Voting rights | No.(a) | As a % of total Shares held (b) | No.(a) (Not applicable) | | As a % of total Shares held (Not applicable) (b) |
| 1. | Custodian/DR Holder | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |