

Holding of specified securities (Statement Showing Shareholding Pattern)

1. Name of Listed Entity : EMPEE SUGARS AND CHEMICALS LTD
2. Scrip Code / Name of Scrip / Class of Security 500132 / EMPEESUG / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 31-Dec-2016
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	YES	
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

EMPEE SUGARS AND CHEMICALS LTD

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	8	29186264	0	0	29186264	69.54	29186264	0	29186264	69.54	0	0	0	0	21110607	72.33	29186264
B.	PUBLIC	24098	12786636		0	12786636	30.46	12792136	0	12792136	30.48	0	0	0	0	0	0	10353031
C.	NON PROMOTER- NON PUBLIC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1.	SHARES UNDERLYING DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	24106	41967400		0	41972900	100	41972900	0	41972900	100	0	0	0	0	21110607	50.3	39539295

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)		
									Class X	Class Y									Total
1. INDIAN																			
	Individuals/Hindu undivided																		
a. Family			6	1619241	0	0	1619241	3.86	1619241	0	1619241	3.86	0	0	0	0	660275	2.26	1619241
	Names :																		
	M P PURUSHOTHAMAN	AGMPP4159R		1447591			1447591	3.45	1447591	0	1447591	3.45	0	0	0	0	630542	2.16	1447591
	A K ARUNA	AFIPA2733F		58250			58250	0.14	58250	0	58250	0.14	0	0	0	0	0	0	58250
	SHEEJU PURUSHOTHAMAN	AAIPS8325G		30000			30000	0.07	30000	0	30000	0.07	0	0	0	15300	0.05	30000	
	NISHA PURUSHOTHAMAN	AABPN2112R		28300			28300	0.07	28300	0	28300	0.07	0	0	0	14433	0.05	28300	
	SHAJI PURUSHOTHAMAN	AAIPS8327E		28100			28100	0.07	28100	0	28100	0.07	0	0	0	0	0	0	28100
	SHEENA PURUSHOTHAMAN	AAIPS8326F		27000			27000	0.06	27000	0	27000	0.06	0	0	0	0	0	0	27000
	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. ANY OTHER																			
	Bodies Corporate		2	27567023	0	0	27567023	65.68	27567023	0	27567023	65.68	0	0	0	0	20450332	70.07	27567023
	Names :																		
	EMPEE DISTILLERIES LIMITED	AAACE1687N		26622102			26622102	63.43	26622102	0	26622102	63.43	0	0	0	0	20450332	70.07	26622102
	EMPEE HOLDINGS LTD	AABCE5490N		944921			944921	2.25	944921	0	944921	2.25	0	0	0	0	0	0	944921
	Sub-Total (A)(1)		8	29186264	0	0	29186264	69.54	29186264	0	29186264	69.54	0	0	0	0	21110607	72.33	29186264
2. FOREIGN																			
	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b. Government			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Institutions			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Portfolio Investor			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f. ANY OTHER																			
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	29186264	0	0	29186264	69.54	29186264	0	29186264	69.54	0	0	0	0	21110607	50.3	29186264

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
								Class X	Class Y								
1. INSTITUTIONS																	
a. Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b. Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e. Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f. Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g. Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h. Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i. ANY OTHER		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i. Market Maker		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. Central Government/ State Government(s)/ President of India																	
Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. NON-INSTITUTIONS																	
a. INDIVIDUALS -																	
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		23720	7042116	0	0	7042116	16.78	7036616	0	7036616	16.76	0	0	0	0	0	4644111
II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		36	4226399	0	0	4226399	10.07	4226399	0	4226399	10.07	0	0	0	0	0	4190799
Names :																	
SANJU SUDHIR KOTHARI	AUWPK6381L		2000000			2000000	4.76	2000000	0	2000000	4.76	0	0	0	0	0	2000000
b. NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e. ANY OTHER																	
BODIES CORPORATE		145	1114347	0	0	1114347	2.65	1114347	0	1114347	2.65	0	0	0	0	0	1114347
Clearing Members		12	40077	0	0	40077	0.1	40077	0	40077	0.1	0	0	0	0	0	40077
HINDU UNDIVIDED FAMILIES		140	285907	0	0	285907	0.68	285907	0	285907	0.68	0	0	0	0	0	285907
NRI – Non- Repat		17	6040	0	0	6040	0.01	6040	0	6040	0.01	0	0	0	0	0	6040
NRI – Repat		27	71650	0	0	71650	0.17	71650	0	71650	0.17	0	0	0	0	0	71650
TRUSTS		1	100	0	0	100	0	100	0	100	0	0	0	0	0	0	100
Sub-Total (B)(3)		24098	12781136	5500	0	12786636	30.46	12781136	0	12781136	30.45	0	0	0	0	0	10353031
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		24098	12786636		0	12786636	30.46	12781136	0	12781136	30.45	0	0	0	0	0	10353031
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

