Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: EMPEE SUGARS AND CHEMICALS LTD
- 2. Scrip Code / Name of Scrip / Class of Security 500132 / EMPEESUG / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Dec-2016
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment 31-Dec-2016
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	YES	
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

## EMPEE SUGARS AND CHEMICALS LTD

Table I - Summary Statement holding of specified securities

Iau	anie i - Junimary Statement nording of Specined Securities																	
Cat	e Category of	Nos. of	No. of	No. of	No. of shares	Total nos.	Shareholding as	Number of Voting Rights				No. of Shares	Shareholding,	Number of		Number of Shares		Number of equity
gor	y Shareholder	Shareholders	fully paid up	Partly paid-up	underlying	shares held	a % of total no.	<ul> <li>held in each class of</li> </ul>				Underlying	as a % assuming	Locked in		pledged or		shares held in
(1)	(II)	(III)	equity shares	equity shares	Depository	(VII) =	of shares		S	ecurities (I	X)	Outstanding	full conversion	shares (XII)		otherwise		dematerialized
			held	held	Receipts	(IV)+(V)+(VI)	(calculated as					convertible	of convertible			encumbe	red (XIII)	form (XIV)
			(IV)	(V)	(VI)		per SCRR, 1957)	N	N. CV C. Di I.			securities	securities	No (a)	As a %	No (a)	As a %	
							(VIII) As a	Class	No of Voting Rights Class Class Tota				( as a percentage	No.(a)		No.(a)		
							% of (A+B+C2)	eg: X	eg: Y	Total	a % of (A+B+C)	Warrants)	of diluted share		of total		of total Shares	
								eg. A	eg. i			(X)	capital)		Shares			
												, ,	(XI)=(VII)+(X)		held (b)		held (b)	
	PROMOTER &																	
	PROMOTER																	
A.	GROUP	8	29186264	0	0	29186264	69.54	29186264	0	29186264	69.54	0	0	0	0	21110607	72.33	29186264
_		0.4000	40-0000		_	4070000		40700400	١.	40=00400	20.40	ا ا			١ .	_		4005004
В.	PUBLIC	24098	12786636		0	12786636	30.46	12792136	0	12792136	30.48	0	0	0	0	0	U	10353031
c.	NON PROMOTER- NON PUBLIC					١ .			١,	١ ,		١	•					
C.	SHARES	U	U	U	U	U	U	U	U	U	U	U		'		U	U	
C1.	UNDERLYING DRS								١ ،	١ ,		ام	•					0
CI.	SHARES HELD BY	U	U	U	U	U	U	U	U	, o	U	U		'	U	U	U	U
	EMPLOYEE																	
C2.	TRUSTS									۱ ۾				ا ا				0
<u>02.</u>	110010						<u> </u>					U		<del>                                     </del>	T		<del> '</del>	
	Total	24106	41967400		0	41972900	100	41972900	0	41972900	100	0	0	0	0	21110607	50.3	39539295

## EMPEE SUGARS AND CHEMICALS LTD

Tab	le II - Statement showing sharel							01 1 11 01		. ,	V (' B'		L. (0)	01 1 1"		-,		. 01	In
	Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of shares		Shareholding %			Voting Rig		No. of Shares	Shareholding,	Numb		Number o		Number of equity
	of the Shareholders	(II)	Shareholder	fully paid up	equity shares	underlying	shares held	calculated as	h		ach class o	of	Underlying	as a % assuming	Locke		pledge		shares held in
	(1)		(III)	equity shares	held	Depository	(VII =	per SCRR, 1957		secur	rities (IX)		Outstanding	full conversion	shares	s (XII)	other	wise	dematerialized
				held	(V)	Receipts	IV + V + VI	As a % of (A+B+C2)					convertible	of convertible			encumbe	red (XIII)	form (XIV)
				(IV)		(VI)	•	(VIII)				I	securities	securities					
				` '		` '		` ′		Votina		Total as	(including	( as a percentage	No.(a)	As a %	No.(a)	As a %	
									Class	Class	Total	a % of	Warrants)	of diluted share		of total		of total	
									X	Y		Total	(X)	capital)		Shares		Shares	
												Voting	(//)	(XI) = (VII)+(X)		held (b)		held (b)	
												rights		as a % of A+B+C2					
1.	INDIAN													40 4 70 0171115102					
	Individuals/Hindu undivided																		
a.	Family		6	1619241	0	0	1619241	3.86	1619241	0	1619241	3.86	0	0	0	0	660275	2.26	1619241
	Names :																		
	M P PURUSHOTHAMAN	AGMPP4159R	_	1447591			1447591	3.45	1447591	0	1447591	3.45		0	0	0	630542	2.16	1447591
	A K ARUNA	AFIPA2733F		58250			58250	0.14		0	58250			0	0	0	0	0	58250
	SHEEJU PURUSHOTHAMAN	AAIPS8325G		30000			30000	0.07		0	30000	0.07		0	0	0	15300	0.05	30000
	NISHA PURUSHOTHAMAN	AABPN2112R		28300			28300	0.07	28300	0	28300	0.07		0	0	0	14433	0.05	28300
	SHAJI PURUSHOTHAMAN	AAIPS8327E		28100			28100	0.07	28100	0	28100	0.07		0	0	0	0	0	28100
	SHEENA PURUSHOTHAMAN	AAIPS8326F		27000			27000	0.06	27000	0	27000	0.06		0	0	0	0	0	27000
	Central Government/																		
b.	State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Financial Institutions/																		
	Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	ANY OTHER																		
	Bodies Corporate		2	27567023	0	0	27567023	65.68	27567023	0	27567023	65.68	0	0	0	0	20450332	70.07	27567023
	Names :																		
	EMPEE DISTILLERIES LIMITED	AAACE1687N		26622102			26622102	63.43	26622102	0	26622102	63.43		0	0	0	20450332	70.07	26622102
	EMPEE HOLDINGS LTD	AABCE5490N		944921			944921	2.25	944921	0	944921	2.25		0	0	0	0	0	944921
	Sub-Total (A)(1)		8	29186264	0	0	29186264	69.54	29186264	0	29186264	69.54	0	0	0	0	21110607	72.33	29186264
_	FOREIGN																		
۲.	Individuals (Non-	<b> </b>							<del>                                     </del>			<del>                                     </del>			l	<del>                                     </del>		<del>                                     </del>	
	Resident Individuals/																		
_	Foreign Individuals)			_		_	_	_	_	_				^			0		
	Government	1	0	0	0	0	0	0	0	0	0	1 0	0	0	0	0	0	J	
	Institutions	1	0	0	0	0		•	0	_	- 0		0	0			0		
	Foreign Portfolio Investor	1	0	0	0	0	0		0	<u> </u>	- 0	1 0	0	0		0	0		
	ANY OTHER	1	U	, , , , , , , , , , , , , , , , , , ,	U	U			-	U	-	<u> </u>	U	U	- ·	<u> </u>		1 0	,
۲.	ANTOTILE	1							1	<u> </u>		<del>                                     </del>				<del>                                     </del>		<b>-</b>	
-	Sub-Total (A)(2)	1	_	0	0	0			0	_	-	1		0	_		-	_	
-	Jub-10(dl (A)(Z)	1	·	,	,	0	· •	,	- "	-		-	·	U	- "	, U	U	1	·
		<b>†</b>							1			<del>                                     </del>				1		1	
	Total Shareholding of Promoter						1			l									
	and Promoter Group (A)=																		
	(A)(1)+(A)(2)		8	29186264	0	0	29186264	69.54	29186264	0	29186264	69.54	0	0	0	0	21110607	50.3	29186264
								•											

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

EMPEE SUGARS AND CHEMICALS LTD

Table III - Statement showing shareholding pattern of the Public shareholder.

Tab	able III - Statement showing shareholding pattern of the Public shareholder  Category & Name PAN Nos. of No. of Partly paid-up No. of shares Total nos.   Shareholding % Number of Voting Rights No. of Shares Total Shareholding, Number of Number of Number of Shares Number of equity																		
	Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of shares	Total nos.	Shareholding %	Nui	mber of	Voting Rigi	hts	No. of Shares	Total Shareholding,	Numb	er of	Number o	f Shares	Number of equity
	of the Shareholders	(II)	Shareholder	fully paid up	equity shares	underlying	shares held	calculated as			ach class o		Underlying	as a % assuming	Locke	d in	pledged or	otherwise	shares held in
	(1)	()	(III)	equity shares	held	Depository	(VII =	per SCRR, 1957	1			Outstanding	full conversion	shares		encumbe		dematerialized	
	(.)		()	held	(V)	Receipts		As a % of (A+B+C2)		0000			convertible	of convertible	0110100	(/ 111)	onounibo		form (XIV)
					(v)		10 + 0 + 01)	(VIII)					securities	securities	No.(a)	As a %	No.(a)	As a %	IOIIII (XIV)
				(IV)		(VI)		(VIII)		Voting		Total as				of total	(Not applic	of total	
									Class	Class	Total	a % of	(including	( as a percentage		Shares	able)	Shares	
									X	Υ		Total	Warrants)	of diluted share		held (b)	,	held	
												Voting	(X)	capital)		ricia (b)		(Not appli	
												rights		(XI)				cable) (b)	
												rigino						Cable) (b)	
1.	INSTITUTIONS																		
a.	Mutual Funds/		0		0	0	0		0	0			0	0	0		NA		0
b.	Venture Capital Funds		0		0	0	0		v	0			_	0	0		NA		0
C.	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
١.	Foreign Venture			۱ .			0	_				_					NA		ا
a.	Capital Investors Foreign Portfolio		U	0	U	0	U	U		0	0	0	0	U	U	U	NA		U
١.	Investors			_		•		^		0		_	_		•	_	NA		
e.	Financial Institutions/		U	, u	· ·	U	0	U	U	U	U	_ <u>'</u>	,	U	- 0		NA.		U
f	Banks			۸ ا	اما		0	n	0	_		_ ^	۸ ا	0	0		NA		_
, r.	Insurance Companies		n	0	0	0	0	0	0	0	0	<u> </u>	0	0	0	1 0	NA NA		0
9.	Provident Funds/		·	•	ď		·	·		-	-		•	Ů			NA.		•
h.	Pension Funds		0	0	ا	0	0	0	0	0	0	0	0	0	0	0	NA.		0
	ANY OTHER		·		ŭ		·	v		Ť		·		•		·			
i.	Market Maker		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Sub-Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Central Government/																		
	State Government(s)/																		
2.	President of India																		
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
_																			
3.	NON-INSTITUTIONS																		
a.	INDIVIDUALS -																		
	I. Individual shareholders																		
	holding nominal share capital																		
	up to Rs. 2 lakhs.		23720	7042116		0	7042116	16.78	7036616	0	7036616	16.76	۰ ا		0	۱ ،	NA.		4644111
	up to its. 2 luniis.		20,20	7042110			7042110	10.70	7000010	·	7000010	10.70	•	•			10/5		4044111
	II. Individual shareholders																		
	holding nominal share capital																		
	in excess of Rs. 2 lakhs.		36	4226399	o	0	4226399	10.07	4226399	0	4226399	10.07	0	0	0	0	NA		4190799
	Names :				1	-						1	1						
	SANJU SUDHIR KOTHARI	AUWPK6381L		2000000			2000000	4.76	2000000	0	2000000	4.76		0	0	0	2000000		2000000
	NBFCs registered																		
	with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
C.	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Overseas Depositories											l				l			
Ι.	(holding DRs)		_	_	_ [	_	_						_						
	(balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA.		0
e.	ANY OTHER			4444-1-	_	_	44446 :=		44440:-	<u> </u>	44440:-	L		_		<u> </u>			4444-1-
-	BODIES CORPORATE Clearing Members		145		0	0	1114347	2.65		0		2.65	0	0	0	0	NA NA		1114347
-	HINDU UNDIVIDED FAMILIES		12 140	40077 285907	0	0	40077 285907	0.1		0	40077 285907	0.1 0.68	0	0	0	v	NA NA		40077 285907
-	NRI – Non- Repat		140				6040	0.68		0	6040	0.68	0	•	0		NA NA		6040
	NRI – Repat		27		0	0	71650	0.01	71650	0	71650	0.01	0	0	0	, O	NA NA		71650
	TRUSTS		1		0	0	100		100	0	100		0	0	0	1 0	NA NA		100
				100	Ĭ	•	100	·	.00	T .	.00		ľ	Ů		t –	140		100
	Sub-Total (B)(3)		24098	12781136	5500	0	12786636	30.46	12781136	0	12781136	30.45	0	0	0	0			10353031
			,,,,	1		-							1						
	Total Public Shareholding																		
	(B)= (B)(1)+(B)(2)+(B)(3)		24098	12786636	<u> </u>	0	12786636	30.46	12781136	0	12781136	30.45	0	0	0	0			10353031

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

## EMPEE SUGARS AND CHEMICALS LTD

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN		No. of	Partly paid-up			Shareholding %	Nun	nher of \	/oting	Righte	No. of Shares	Total Shareholding,	Numb	er of	Number	of Shares	Number of equity
	of the Shareholders	(II)					shares held	calculated as				Underlying	as a % assuming	Locked in		pledged or otherwise		shares held in	
	(1)		(III)	equity shares		Depository	(VII =	per SCRR, 1957				Outstanding	full conversion	shares (XII)		encumb	ered (XIII)	dematerialized	
				held (IV)	(V)	Receipts (VI)	IV + V + VI)	As a % of (A+B+C2) (VIII)	No of			Total as a % of Total Voting rights	convertible securities (including Warrants) (X)	of convertible securities ( as a percentage of diluted share capital) (XI)	No.(a)	As a % of total Shares held (b)	,	As a % of total Shares held (Not appli cable) (b)	form (XIV) (Not applicable)
1.	. Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
2.	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0