Holding of specified securities (Statement Showing Shareholding Pattern)

- 1. Name of Listed Entity: EMPEE SUGARS AND CHEMICALS LTD
- 2. Scrip Code / Name of Scrip / Class of Security 500132 / EMPEESUG / EQUITY
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 30-Sep-2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 30-Sep-2016
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	YES	
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

EMPEE SUGARS AND CHEMICALS LTD

Table I - Summary Statement holding of specified securities

Tab	Cate Category of Nos. of No. of No. of No. of Shares Total nos. Shareholding as Number of Voting Rights No. of Shares Shareholding, Number of Number of Number of Shares Number																			
Cate	e Category of	Nos. of	No. of	No. of	No. of shares	Total nos.	Shareholding as		Numbe	r of Voting	Rights	No. of Shares	Shareholding,	Numb	er of	Number o	f Shares	Number of equity		
gory	Shareholder	Shareholders	fully paid up	Partly paid-up	underlying	shares held	a % of total no.		held in each class of				as a % assuming	Locked in		pledged or		shares held in		
(1)	(II)	(III)	equity shares	equity shares	Depository	(VII) =	of shares		S	ecurities (I	X)	Outstanding	full conversion	shares	(XII)	otherwise		dematerialized		
'	` '	. ,	held	held	Receipts	(IV)+(V)+(VI)	(calculated as					convertible	of convertible			encumbe	red (XIII)	form (XIV)		
			(IV)	(V)	(VI)		per SCRR, 1957)	NI		Dialete	Total as	securities	securities	No (a)	1 1 2 2 0/	No (a)	1 1000/	i		
							(VIII) As a	Class	No of Voting Right				Total as	(including	(as a percentage	No.(a)	As a %	No.(a)	As a %	i
							% of (A+B+C2)	eg: X		TOTAL	a % of (A+B+C)	Warrants)	of diluted share		of total		of total	i		
								eg. A	eg: Y			(X)	capital)		Shares		Shares	i		
												` ,	(XI)=(VII)+(X)		held (b)		held (b)	i		
	PROMOTER &												, , , , , , ,							
	PROMOTER																	i		
A.	GROUP	8	29201264	0	0	29201264	69.57	29201264	0	29201264	69.57	0	0	0	0	21110607	72.29	29201264		
																		i		
B.	PUBLIC	24172	12766136	5500	0	12771636	30.43	12766136	0	12766136	30.42	0	0	0	0	0	0	10329331		
	NON PROMOTER-																	i		
C.	NON PUBLIC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	SHARES							_										i .		
C1.	UNDERLYING DRS SHARES HELD BY	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0		
1	EMPLOYEE																	í		
C2.	TRUSTS		١ .			١ .		١ .	۱ ،	١ ,			0	١ ,	، ا		، ا	۱ ۵		
<u>02.</u>	INUOIO	0	, , , , , , , , , , , , , , , , , , ,		0	U	<u>_</u>	—	U	U	U	U	U		-		-	<u>_</u>		
	Total	24180	41967400	5500	0	41972900	100	41967400	o	41967400	99.99	0	0	C	0	21110607	50.3	39530595		

EMPEE SUGARS AND CHEMICALS LTD

Tab	le II - Statement showing shareh							0 1 1 2		. ,	V (: D:		L. (0)	01 1 11				. 01	In a constant
	Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of shares		Shareholding %			Voting Rig		No. of Shares	Shareholding,	Number of		Number o		Number of equity
	of the Shareholders	(II)	Shareholder	fully paid up	equity shares	underlying	shares held	calculated as	h		ach class o	of	Underlying	as a % assuming	Locke		pledge		shares held in
	(1)		(III)	equity shares	held	Depository	(VII =	per SCRR, 1957		secur	ities (IX)		Outstanding	full conversion	shares	s (XII)	otherwise		dematerialized
				held	(V)	Receipts	IV + V + VI)	As a % of (A+B+C2) (VIII)	1				convertible	of convertible	i ' '		encumbered (XIII)		form (XIV)
				(IV)	(/	(VI)	·		•			securities	securities					. ,	
				(10)		(*1)		(*)	No of	Voting		Total as	(including	(as a percentage	No.(a)	As a %	No.(a)	As a %	
									Class	Class	Total	a % of	Warrants)	of diluted share		of total		of total	
									X	Υ		Total	,			Shares		Shares	
												Voting	(X)	capital)		held (b)		held (b)	
												rights		(XI) = (VII)+(X)		rield (b)		rield (b)	
												rigitis		as a % of A+B+C2					
1.	INDIAN																		
	Individuals/Hindu undivided																		
a.	Family		6	1619241	0	0	1619241	3.86	1619241	0	1619241	3.86	0	0	0	0	660275	2.26	1619241
	Names :																		
	M P PURUSHOTHAMAN	AGMPP4159R		1447591			1447591	3.45		0	1447591	3.45		0	0	0	630542	2.16	1447591
	A K ARUNA	AFIPA2733F		58250			58250	0.14	58250	0	58250	0.14		0	0	0	0	0	58250
	SHEEJU PURUSHOTHAMAN	AAIPS8325G		30000			30000	0.07	30000	0	30000	0.07		0	0	0	15300	0.05	30000
	NISHA PURUSHOTHAMAN	AABPN2112R		28300			28300	0.07	28300	0	28300	0.07		0	0	0	14433	0.05	28300
	SHAJI PURUSHOTHAMAN	AAIPS8327E		28100			28100	0.07	28100	0	28100	0.07		0	0	0	0	0	28100
	SHEENA PURUSHOTHAMAN	AAIPS8326F		27000			27000	0.06	27000	0	27000	0.06		0	0	0	0	0	27000
	Central Government/																		
b.	State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Financial Institutions/																		
c.	Banks		0	0	0	0	0	l o	0	0	l o	0	0	0	0	o	0	0	0
d.	ANY OTHER																		
	Bodies Corporate		2	27582023	0	0	27582023	65.71	27582023	0	27582023	65.71	0	0	0	0	20450332	70.03	27582023
	Names :																		
	EMPEE DISTILLERIES LIMITED	AAACE1687N		26622102			26622102	63,43	26622102	0	26622102	63.43		0	0	0	20450332	70.03	26622102
	EMPEE HOLDINGS LTD	AABCE5490N		959921			959921	2.29	959921	0	959921	2.29		0	0	0	20100002	0.00	959921
	EIII EE TIGEBIITGG ETB	781802010011		000021			000021	2,20	000021	Ů	000021	2.20		·	·				000021
	Sub-Total (A)(1)		8	29201264	0	0	29201264	69.57	29201264	0	29201264	69.57	0	0	0	0	21110607	72.29	29201264
	Cub-Total (A)(T)			23201204	•	·	23201204	00.07	23201204	·	23201204	03.07	•	•			21110007	72.20	23201204
2.	FOREIGN	1	l	1			l				1	1	l			1		1	1
Ë	Individuals (Non-	1		1							l							1	
	Resident Individuals/		l	1			l				1								
9	Foreign Individuals)		۰ ۱	0	0	0	۰ ۱	١	0	۸	، ا	0	۱ ،	0	n	۱ ،	0		
	Government	f	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	J	
	Institutions		0	0	0	0	0	•	0	0	- 0		0	0			0		0
	Foreign Portfolio Investor	f	0	0	0	0	0		0	0	0	0	0	0		0	0		0
	ANY OTHER	 	· ·	1	<u> </u>	U	l		·			- "		U	U	ا		— •	
Ë	ANTOTILIN	 		1							 	 				 		 	
	Sub-Total (A)(2)	1	0	0	0	0	0		0	_	_	•		0	-	0	-	_	
	Oub-Total (A)(2)	1			U	U	- 0	0	- 0	U		-		U	-		U	-	
-	1	 		 							 	 				 		 	
	Total Shareholding of Promoter																		
	and Promoter Group (A)=										ĺ								
	(A)(1)+(A)(2)		ρ	29201264	0	n	29201264	69 57	29201264	n	29201264	69.57	n	n	n	n	21110607	50.3	29201264
	11111111	1		20201204			20201204	09.37				00.07		· · ·			21110007	. 55.5	20201204

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

EMPEE SUGARS AND CHEMICALS LTD

Table III - Statement showing shareholding pattern of the Public shareholder.

Tab	Table III - Statement showing shareholding pattern of the Public shareholder																		
	Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of shares	Total nos.	Shareholding %	Nui	mber of	Voting Rigi	hts	No. of Shares	Total Shareholding,	Numb	er of	Number o	f Shares	Number of equity
	of the Shareholders	(11)	Shareholder	fully paid up	equity shares	underlying	shares held	calculated as			ach class o		Underlying	as a % assuming	Locke	d in	pledged or	otherwise	shares held in
	(1)	()	(III)	equity shares	held	Depository	(VII =	per SCRR, 1957	1		rities IX)		Outstanding	full conversion	shares		encumbe		dematerialized
	(.)		()	held	(V)	Receipts		As a % of (A+B+C2)		0000			convertible	of convertible	0.10.00	(/ 111)	onounibo		form (XIV)
					(v)		10 + 0 + 01)	(VIII)					securities	securities	No.(a)	As a %	No.(a)	As a %	IOIIII (XIV)
				(IV)		(VI)		(VIII)		Voting		Total as				of total	(Not applic	of total	
									Class	Class	Total	a % of	(including	(as a percentage		Shares	able)	Shares	
									X	Υ		Total	Warrants)	of diluted share		held (b)	,	held	
												Voting	(X)	capital)		ricia (b)		(Not appli	
												rights		(XI)				cable) (b)	
												ilgilis						cable) (b)	
1.	INSTITUTIONS																		
a.	Mutual Funds/		0		0	0	0		0	0		0	0	0	0		NA		0
b.	Venture Capital Funds		0		0	0	0		v	0		0	0	0	0		NA		0
C.	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Foreign Venture																		
d.	Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
	Foreign Portfolio		_		_	_	_					_			_				
e.	Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
١.	Financial Institutions/		_	_	_	_	_	_				_	_		_	l .			_]
t.	Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA NA		0
g.	Insurance Companies Provident Funds/		0	0	0	0	0	0	0	0	0	0	0	0	- 0	0	NA.		0
l 6	Provident Funds/ Pension Funds		_		_	_	_	_	_	_	_	_			_	١ .	NA		_
	ANY OTHER	-	0	0	U	0	U	U	"	- 0	- 0	- 0	0	U	0		NA.		0
+	Market Maker	—	^	•		•	•	^	•	^	•	^	•	0	•	_	NA		
1.	INIAI NEL IVIANEI		U	, ·	U	U	U	U	U	U	U	U	, u	U	- 0	<u></u>	NA.		<u>_</u>
-	Sub-Total (B)(1)		0	0	0	0	0	0		0		0	0	0	0	_			0
-	Sub-Total (B)(T)		U		U			U											
	Central Government/																		
	State Government(s)/																		
2	President of India																		
	1 Toolaont of India																		
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	(), /					-													
3.	NON-INSTITUTIONS																		
a.	INDIVIDUALS -																		
	I. Individual shareholders																		
	holding nominal share capital																		
	up to Rs. 2 lakhs.		23779	7010404	5500	0	7015904	16.72	7010404	0	7010404	16.7	0	0	0	0	NA		4609199
	II. Individual shareholders																		
	holding nominal share capital																		
	in excess of Rs. 2 lakhs.		36	4209037	0	0	4209037	10.03	4209037	0	4209037	10.03	0	0	0	0	NA		4173437
	Names :																		
-	SANJU SUDHIR KOTHARI	AUWPK6381L		2000000			2000000	4.76	2000000	0	2000000	4.76		0	0	0	2000000		2000000
١.	NBFCs registered		_	_ ا	_	_	_	_	_	_	_	_	_ ا	_	_	١ .			
	with RBI Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA NA		0
C.	Overseas Depositories	—	0	0	U	0	0	U	U	U	U	U	0	U	0	- 0	NA.		0
	(holding DRs)			l									l			l			
٦,	(balancing figure)			_						_			_			_	NA		
	ANY OTHER		U	, ·	U	U	U	U	U	U	U	U	, u	U	- 0	<u></u>	NA.		<u>_</u>
<u> </u>	BODIES CORPORATE		160	1147647	n	n	1147647	2.73	1147647	0	1147647	2.73	n	n	0	n	NA		1147647
-	Clearing Members		12		0	0	33130	0.08		0	33130	0.08	0	0	0	0	NA NA		33130
	HINDU UNDIVIDED FAMILIES		139	287092	0	0	287092	0.68		0		0.68	ő	0	0	v	NA NA		287092
	NRI – Non- Repat		17		0		6530	0.02		0	6530	0.02	Ö	0	0		NA NA		6530
	NRI – Repat		28		0	0	72196	0.17	72196	0	72196	0.17	Ö	0	0	0	NA NA		72196
	TRUSTS		1		Ö	0	100	0	100	0	100	0	0	0	0	0	NA		100
				1		-		-	1				1						1
	Sub-Total (B)(3)		24172	12766136	5500	0	12771636	30.43	12766136	0	12766136	30.42	0	0	0	0			10329331
	Total Public Shareholding																		
	(B)= (B)(1)+(B)(2)+(B)(3)		24172	12766136	5500	0	12771636	30.43	12766136	0	12766136	30.42	0	0	0	0			10329331

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

EMPEE SUGARS AND CHEMICALS LTD

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

		Category & Name	PAN	Nos. of	No. of	Partly paid-up	No. of shares	Total nos.	Shareholding %	Nu	mber of	Voting	Rights	No. of Shares	Total Shareholding,	Numb	er of	Number	of Shares	Number of equity
		of the Shareholders	(II)	Shareholder	fully paid up	equity shares	underlying	shares held	ares held calculated as		held in each class of			Underlying	as a % assuming	as a % assuming Locked in		pledged or otherwise		shares held in
		(1)		(III)	equity shares	held	Depository	(VII =	per SCRR, 1957		securities (IX)		Outstanding	full conversion	shares (XII)		encumb	ered (XIII)	dematerialized	
					held	(V)	Receipts	IV + V + VI	As a % of (A+B+C2)		No of Voting Rights Total as		convertible	of convertible	NI- (-)	A 0/	NI- (-)	1 0/	form (XIV)	
					(IV)		(VI)		(VIII)					securities	securities	No.(a)	As a %		As a %	(Not applicable)
										Class	Class	Total		(including	(as a percentage		of total			
										^	1		Total	Warrants)	of diluted share		Shares		Shares held	
													Voting	(X)	capital)		held (b)		(Not appli	
													rights		(XI)				cable) (b)	
Γ	1.	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
H	E	mployee Benefit Trust					İ													
	(L	under SEBI (Share based																		
	Е	mployee Benefit)																		
		legulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA		0
		otal Non-Promoter- Non										1								
		Public Shareholding (C)=										1								
	1(0	C)(1)+(C)(2)				11 0			11 (1			1 0					11 0	1	1	