

Holding of specified securities (Statement Showing Shareholding Pattern)

1. Name of Listed Entity : EMPEE SUGARS AND CHEMICALS LTD
2. Scrip Code / Name of Scrip / Class of Security 500132 / EMPEESUG / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 30-Sep-2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 30-Sep-2016
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	YES	
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

EMPEE SUGARS AND CHEMICALS LTD

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
A.	PROMOTER & PROMOTER GROUP	8	29201264	0	0	29201264	69.57	29201264	0	29201264	69.57	0	0	0	0	21110607	72.29	29201264
B.	PUBLIC	24172	12766136	5500	0	12771636	30.43	12766136	0	12766136	30.42	0	0	0	0	0	0	10329331
C.	NON PROMOTER-NON PUBLIC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1.	SHARES UNDERLYING DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	24180	41967400	5500	0	41972900	100	41967400	0	41967400	99.99	0	0	0	0	21110607	50.3	39530595

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No.(a)		As a % of total Shares held (b)
									Class X	Class Y	Total								
1.	INDIAN																		
	Individuals/Hindu undivided																		
a.	Family		6	1619241	0	0	1619241	3.86	1619241	0	1619241	3.86	0	0	0	0	660275	2.26	1619241
	Names :																		
	M P PURUSHOTHAMAN	AGMPP4159R		1447591			1447591	3.45	1447591	0	1447591	3.45	0	0	0	0	630542	2.16	1447591
	A K ARUNA	AFIPA2733F		58250			58250	0.14	58250	0	58250	0.14	0	0	0	0	0	0	58250
	SHEEJU PURUSHOTHAMAN	AAIPS8325G		30000			30000	0.07	30000	0	30000	0.07	0	0	0	15300	0.05	30000	
	NISHA PURUSHOTHAMAN	AABPN2112R		28300			28300	0.07	28300	0	28300	0.07	0	0	0	14433	0.05	28300	
	SHAJI PURUSHOTHAMAN	AAIPS8327E		28100			28100	0.07	28100	0	28100	0.07	0	0	0	0	0	0	28100
	SHEENA PURUSHOTHAMAN	AAIPS8326F		27000			27000	0.06	27000	0	27000	0.06	0	0	0	0	0	0	27000
	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	ANY OTHER																		
	Bodies Corporate		2	27582023	0	0	27582023	65.71	27582023	0	27582023	65.71	0	0	0	0	20450332	70.03	27582023
	Names :																		
	EMPEE DISTILLERIES LIMITED	AAACE1687N		26622102			26622102	63.43	26622102	0	26622102	63.43	0	0	0	0	20450332	70.03	26622102
	EMPEE HOLDINGS LTD	AABCE5490N		959921			959921	2.29	959921	0	959921	2.29	0	0	0	0	0	0	959921
	Sub-Total (A)(1)		8	29201264	0	0	29201264	69.57	29201264	0	29201264	69.57	0	0	0	0	21110607	72.29	29201264
2.	FOREIGN																		
	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b.	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c.	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f.	ANY OTHER																		
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	29201264	0	0	29201264	69.57	29201264	0	29201264	69.57	0	0	0	0	21110607	50.3	29201264

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)	
								Class X	Class Y								
1. INSTITUTIONS																	
a. Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b. Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e. Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f. Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
g. Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h. Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i. ANY OTHER																	
i. Market Maker		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (B)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2. Central Government/ State Government(s)/ President of India																	
Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3. NON-INSTITUTIONS																	
a. INDIVIDUALS -																	
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		23779	7010404	5500	0	7015904	16.72	7010404	0	7010404	16.7	0	0	0	0	0	4609199
II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		36	4209037	0	0	4209037	10.03	4209037	0	4209037	10.03	0	0	0	0	0	4173437
Names :																	
SANJU SUDHIR KOTHARI	AUWPK6381L		2000000			2000000	4.76	2000000	0	2000000	4.76	0	0	0	0	0	2000000
b. NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c. Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d. Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e. ANY OTHER																	
BODIES CORPORATE		160	1147647	0	0	1147647	2.73	1147647	0	1147647	2.73	0	0	0	0	0	1147647
Clearing Members		12	33130	0	0	33130	0.08	33130	0	33130	0.08	0	0	0	0	0	33130
HINDU UNDIVIDED FAMILIES		139	287092	0	0	287092	0.68	287092	0	287092	0.68	0	0	0	0	0	287092
NRI – Non- Repat		17	6530	0	0	6530	0.02	6530	0	6530	0.02	0	0	0	0	0	6530
NRI – Repat		28	72196	0	0	72196	0.17	72196	0	72196	0.17	0	0	0	0	0	72196
TRUSTS		1	100	0	0	100	0	100	0	100	0	0	0	0	0	0	100
Sub-Total (B)(3)		24172	12766136	5500	0	12771636	30.43	12766136	0	12766136	30.42	0	0	0	0	0	10329331
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		24172	12766136	5500	0	12771636	30.43	12766136	0	12766136	30.42	0	0	0	0	0	10329331
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	

