

Annexure - I

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Holding of specified securities (Statement Showing Shareholding Pattern)

1. Name of Listed Entity : EMPEE SUGARS AND CHEMICALS LTD
2. Scrip Code / Name of Scrip / Class of Security 500132 / EMPEESUG / EQUITY
3. Share Holding Pattern Filed under: Reg. 31(1)(a) / Reg. 31(1)(b) / Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending 31-Mar-2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment 31-Mar-2016
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?	YES	

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights		Total as a % of (A+B+C)			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y								
A.	PROMOTER & PROMOTER GROUP	8	29201264	0	0	29201264	69.57	0	0	0	0	0	0	0	21110607	72.29	29201264
B.	PUBLIC	24246	12771636	0	0	12771636	30.43	0	0	0	0	0	0	0	0	0	10317031
C.	NON PROMOTER-NON PUBLIC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1.	SHARES UNDERLYING DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C2.	SHARES HELD BY EMPLOYEE TRUSTS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	24254	41972900	0	0	41972900	100	0	0	0	0	0	0	0	21110607	50.3	39518295

Table II

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights		Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
									Class X	Class Y								
1.	INDIAN																	
a.	Individuals/Hindu undivided Family		6	1619241	0	0	1619241	3.86	0	0	0	0	0	0	0	660275	2.26	1619241
	Names :																	
	M P PURUSHOTHAMAN	AGMPP4159R		1447591			1447591	3.45	0	0	0	0	0	0	0	630542	2.16	1447591
	A K ARUNA	AFIPA2733F		58250			58250	0.14	0	0	0	0	0	0	0	0	0	58250
	SHEEJU PURUSHOTHAMAN	AAIPS8325G		30000			30000	0.07	0	0	0	0	0	0	0	15300	0.05	30000
	NISHA PURUSHOTHAMAN	AABPN2112R		28300			28300	0.07	0	0	0	0	0	0	0	14433	0.05	28300
	SHAJI PURUSHOTHAMAN	AAIPS8327E		28100			28100	0.07	0	0	0	0	0	0	0	0	0	28100
	SHEENA PURUSHOTHAMAN	AAIPS8326F		27000			27000	0.06	0	0	0	0	0	0	0	0	0	27000
b.	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c.	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	ANY OTHER		2	27582023	0	0	27582023	65.71	0	0	0	0	0	0	20450332	70.03	27582023	
	Names :																	
	EMPEE DISTILLERIES LIMITED	AAACE1687N		26622102			26622102	63.43	0	0	0	0	0	0	20450332	70.03	26622102	
	EMPEE HOLDINGS LTD	AABCE5490N		959921			959921	2.29	0	0	0	0	0	0	0	0	0	959921
	Sub-Total (A)(1)		8	29201264	0	0	29201264	69.57	0	0	0	0	0	0	21110607	72.29	29201264	
2.	FOREIGN																	
	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b.	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c.	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d.	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f.	ANY OTHER																	
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	29201264	0	0	29201264	69.57	0	0	0	0	0	0	21110607	50.3	29201264	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Table III

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a) (Not applicable)	As a % of total Shares held (Not applicable) (b)		
									Class X	Class Y									Total
1.	INSTITUTIONS																		
a.	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
b.	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
c.	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
d.	Foreign Venture Capital Investors Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
e.	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
f.	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
g.	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
h.	ANY OTHER		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
i.	Market Maker		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
2.	Central Government/ State Government(s)/ President of India																		
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
3.	NON-INSTITUTIONS																		
a.	INDIVIDUALS -																		
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		23860	7027510	0	0	7027510	16.74	0	0	0	0	0	0	0	0	0	0	4608505
	II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		37	4269695	0	0	4269695	10.17	0	0	0	0	0	0	0	0	0	0	4234095
	Names																		
	SANJU SUDHIR KOTHARI	AUWPKG381L		2000000			2000000	4.76	0	0	0	0	0	0	0	0	2000000		2000000
	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
c.	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
d.	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	0
e.	ANY OTHER																		
	BODIES CORPORATE		151	1094430	0	0	1094430	2.61	0	0	0	0	0	0	0	0	0	0	1094430
	CLEARING MEMBERS		19	15586	0	0	15586	0.04	0	0	0	0	0	0	0	0	0	0	15586
	HINDU UNDIVIDED FAMILIES		137	283067	0	0	283067	0.67	0	0	0	0	0	0	0	0	0	0	283067
	NON RESIDENT INDIANS		42	81348	0	0	81348	0.19	0	0	0	0	0	0	0	0	0	0	81348
	Sub-Total (B)(3)		24246	12771636	0	0	12771636	30.43	0	0	0	0	0	0	0	0	0	0	10317031
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		24246	12771636	0	0	12771636	30.43	0	0	0	0	0	0	0	0	0	0	10317031
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																			
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			

Table IV

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of Shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV + V + VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not applicable)		
									No of Voting Rights		Total as a % of Total Voting rights			No.(a)	As a % of total Shares held (b)	No.(a) (Not applicable)	As a % of total Shares held (Not applicable) (b)			
									Class X	Class Y									Total	
1.	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2.	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0